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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Gary J. Locke  
Serial No. : 09/834,067  
Filing Date : April 12, 2001  
For : MODULAR SYSTEM AND METHOD  
FOR CONTROLLING A MATERIAL  
HANDLING SYSTEM  
Group Art Unit : 2123  
Attorney Docket No. : LM(F)5728  
Cleveland, Ohio 44114

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AUG 02 2002

Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

1. Pursuant to 37 CFR \$1.97 and \$1.98, and in compliance with CFR \$1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed. Applicant(s) make no admission that the enclosed documents are prior art to the present invention.
2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a corresponding U.S. Patent is enclosed or a concise explanation of the relevance of the document is set forth on an attached sheet, or a copy of an English-language search report is attached.

3. Pursuant to 37 CFR \$1.97(b) this Statement is being filed (one must be checked):

- (a) ☐ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☒ Before the mailing date of a first Office Action on the merits.
- (c) ☐ After the period set forth in 37 CFR \$1.97(b) but before the mailing date of either a final action or a notice of allowance, or an action that otherwise closes prosecution in the application.

1) ☐ A certification is given below,

2) ☐ Enclosed is a check covering the fee (\$180.00) set forth in \$1.17(p) for consideration of this Statement, or

3) ☐ Charge the fee set forth in 37 CFR \$1.17(p) to Deposit Account No. 20-0090.

(d) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. The required certification and fee is indicated below.

1) ☐ Enclosed is a check covering the fee set forth in 37 CFR \$1.17(p) \$180, or

2) ☐ Charge the fee set forth in 37 CFR \$1.17(p) to Deposit Account No. 20-0090.

4. Certification (if applicable):

- (a) ☒ The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

- (b) ☐ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the undersigned's knowledge after making reasonable inquiry no item of information contained in the Statement was known to any individual designated in 37 CFR \$1.56(c) more than 3 months prior to the filing of this Statement.
5. The Commissioner is hereby authorized to charge any additional fees, fees underpaid, or credit any overpayment with regard to this Statement to Deposit Account No. 20-0090.
6. Concise Explanation (if needed):
- (1) ☐ Below is a Concise Statement of Relevance of enclosed non-English language document(s).

**U.S. PATENTS**

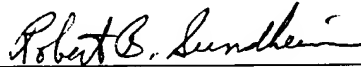
U.S. Patent 5,341,916

**FOREIGN DOCUMENTS**

EP 0 811 567 A2

International Search Report PCT/US 02/11346

Respectfully submitted,



Robert B. Sundheim  
Reg. No. 20,127

TAROLLI, SUNDHEIM, COVELL,  
TUMMINO & SZABO L.L.P.  
1111 Leader Building  
526 Superior Avenue  
Cleveland, OH 44114-1400  
Phone: (216) 621-2234  
Fax: (216) 621-4070

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(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.:  
LM(F)5728

SERIAL NO. 09/834,067

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

APPLICANT: Locke

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FILING DATE: April 12, 2001

GROUP: 2123

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	5	3	4	1	9	1	6	8/30/94	Doane et al.			

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
												YES	NO
	0	8	1	1	5	6	7		European				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	European Search Report PCT/US 02/11346

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent applicants' attorney.